

2616

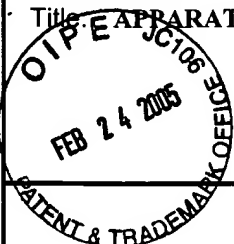
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
JP920000259US1

In Re Application: **Hiroaki Kubo et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/961,020	09/21/2001	James Fletcher		2616	5130

Title: **APPARATUS AND METHOD FOR RECORDING AND REPRODUCING DIGITAL DATA**



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Jack P. Friedman
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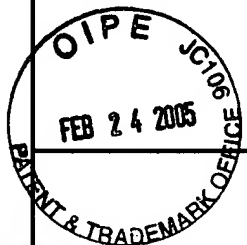
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37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

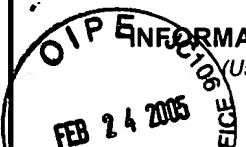
37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

	ATTY DOCKET NO. JP920000259US1		APPLICATION NO. 09/961.020	
	APPLICANT(S) Hiroaki Kubo et al.			
	FILING DATE 09/21/2001		GROUP ART UNIT 2616	

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	PUPA 11 - 351422	12/24/99	Japan				
	PUPA 10 - 322662	12/04/98	Japan				
	PUPA 08 - 256304	10/01/96	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



*** Information Materials for IDS ***

To END IP Law Docket Number JP9-2000-0259 Date Oct., 1, 2004Prepared by Shinya MAYAMA Date of JPO Office Action Sep., 28, 2004**Applied Art** (The following reference(s) were cited by JPO Examiner as Prior art to the following JP claims)

Ref.	Patent Document No. or Title	Publication Date (MM/DD/YY)	English abs. or counterpart document available (Y/N)	JP claim(s)
A	PUPA 2001-111943 *	04/20/01	Y	1 ~ 13
B	PUPA 11-351422	12/24/99	Y	1 ~ 13
C	PUPA 10-322662	12/4/98	Y	1 ~ 13
D	PUPA 08-256304	10/1/96	Y	1 ~ 13
E				
F				

<NOTE>

◇ PUPA : Published Unexamined Patent Application

◇ PEPA : Published Examined Patent Application

◇ PUUMA : Published Unexamined Utility Model Application

◇ PEUMA : Published Examined Utility Model Application

◇ JP : Japanese Patent

◇ * : Reference being filed before and published after the priority application date of the subject docket

to be continued ☐

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Background Art (The following reference(s) were cited but not applied to the JP claims.)

Ref.	Patent Document No. or Title	Ref.	Patent Document No. or Title
bgA		bgD	
bgB		bgE	
bgC		bgF	

Comment, if any : Ref. A is cited in the 1st Office Action.

to be continued ☐